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# NOTICE OF ALLOWANCE AND FEE(S) DUE

7590

05/26/2004

BLAKELY SOKOLOFF, TAYLOR & ZAFMAN LLP 124000 WILSHIRE BLVD 7TH FLOOR LOS ANGELES, CA 90025 EXAMINER
SCOTT JR, LEON

PAPER NUMBER

ART UNIT

DATE MAILED: 05/26/2004

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/814,464	03/21/2001	Andrew Daiber	NUFO001	4117

TITLE OF INVENTION: ERROR SIGNAL GENERATION SYSTEM

APPLN, TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$300	\$1630	08/26/2004

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

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If the SMALL ENTITY is shown as NO:

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B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE SEE shown above.

□ Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

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Complete and send this form, together with applicable fee(s), to: Mail

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(Depositor's name) (Signature) (Date

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/814,464	03/21/2001	Andrew Daiber	NUFO001	4117

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nonprovisional NO \$1330  EXAMINER ART UNIT		\$300		\$1630	08/26/2004	
		ART UNIT	т	CLASS-SUBCLASS		
SCOTT J	2828		372-020000			
1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).  Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.			names of u agents OR, firm (having agent) and t	ng on the patent front page p to 3 registered patent alternatively, (2) the name g as a member a registered the names of up to 2 registered agents. If no name is listered.	attorneys or 1 of a single l attorney or 2 stered patent	

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE	(B) RESIDENCE: (CITY	and STATE OF	R COUNTRY)	
Please check the appropriate assignee category of	or categories (will not be printed on the patent);	☐ individual	□ corporation or other private group entity	☐ government
4a. The following fee(s) are enclosed:	4b. Payment of Fee(s):		, , , , , , , , , , , , , , , , , , , ,	go · o · · · · · · · · ·
☐ Issue Fee	☐ A check in the amo	unt of the fee(s)	is enclosed.	
☐ Publication Fee	☐ Payment by credit of	ard. Form PTO-	2038 is attached.	
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Director for Patents is requested to apply the Issu	ue Fee and Publication Fee (if any) or to re-apply	any previously p	aid issue fee to the application identified abo	ve.
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obtain or retain a benefit by the public which application. Confidentiality is governed by 35 estimated to take 12 minutes to complete, incl completed application form to the USPTO. To case. Any comments on the amount of tim suggestions for reducing this burden, should Patent and Trademark Office, U.S. Depa. 22313-1450. DO NOT SEND FEES OR COMMISSIONER FOR Patents, Alexand				
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# United States Patent and Trademark Office

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
09/814,464 03/21/2001 Andrew Daiber		NUFO001 4117				
75	90 05/26/2004	EXAMINER				
BLAKELY SOKOLOFF, TAYLOR & ZAFMAN LLP			SCOTT JI	SCOTT JR, LEON		
124000 WILSHIRI 7TH FLOOR	E BLVD		ART UNIT	PAPER NUMBER		
LOS ANGELES, C	CA 90025		2828			
			DATE MAILED: 05/26/2004	1		

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 523 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 523 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

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p9/814,464 Examin r  Leon Scott, Jr.  Property of the cover should be appropriate communication to the property of the propert	DAIBER, ANDREW  Art Unit  2828  rrespondence address- dication. If not included will be mailed in due course. THIS withdrawal from issue at the initiative
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# Notice of References Cited Application/Control No. 09/814,464 Examiner Leon Scott, Jr. Applicant(s)/Patent Under Reexamination DAIBER, ANDREW Page 1 of 1

# **U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	Α	US-2002/0136104	09-2002	Daiber, Andrew	369/44.23
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## FOREIGN PATENT DOCUMENTS

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# **NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

ENTORMATION DISCLOSURE CITATION	ATTY. DOCKET NO. NUFO001	SERIAL NO. 09/814,464
Form PTO-1449 (Modified)	APPLICANT Daiber, A.	CONFIRMATION NO. 4117
(Use several sheets if necessary)	FILING DATE March 21, 2001	EXAMINER (GROUP) Unassigned (2881)

OFMA!	AK CHECKE		U.S. PA	TENT DOCUMENTS				
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
ARV	AA	5,428,700	June 27, 1995	Hall			··	
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	AD	6,205,159 B1	Mar. 20, 2001	Sesko et al.				
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<b>EXAMINER</b> : Initial if reference considered, wheth if not in conformance and not considered. Include of	ner or not citation is in conformance with MPEP 609; Draw line through cital copy of this form with next communication to applicant.

CERTIFICATE OF MAILING Thereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissiones, for Bridge, S. Washington, D.C. 20231. Wilhelm Albert Palmen Jr. Typed or Printed Name July 31, 2001 Date Signature NUFO001 Attorney Docket Daiber, Andrew First Named Inventor **INFORMATION** DISCLOSURE STATEMENT 09/814,464 Application Number 37 CFR § 1.97(b) 4117 Confirmation Number March 21, 2001 Filing Date 2881 Group Art Unit Address to: **BOX MISSING PARTS** Unassigned **Examiner Name Assistant Commissioner for Patents** "Error Signal Generation System" Washington, D.C. 20231 Title:

Sir:

This is an Information Disclosure Statement submitted for the Examiner's consideration. A Form PTO-1449 listing the references accompanies this paper. Applicants would appreciate the Examiner's initialing and returning the form to indicate that the references have been reviewed and made of record.

The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR § 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR § 1.491 in an international application; before the mailing date of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR § 1.114.

This Information Disclosure Statement is not intended as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one of the above references constitutes prior art to the present application within the meaning of 35 U.S.C. §102.

Applicants feel that there are no fees required in association with the filing of this paper, however, the Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16 and 1.17 which may be required by this paper, or to credit any overpayment, to Deposit Account No. 50-0815.

By:

Respectfully submitted, BOZICEVIC, FIELD & FRANCIS LLP

July 31, 2001

Robert C. Hall

Registration No. 39,209

**BOZICEVIC, FIELD & FRANCIS LLP** 200 Middlefield Road, Suite 200 Menlo Park, CA 94025

Telephone: (650) 327-3400

Date: \_\_

Facsimile: (650) 327-3231

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	Application Number	09/814,464		
OF E CANFORMATION DISCLOSURE	Filing Date	March 21, 2001		
3 MATEMENT BY APPLICANT	First Named Inventor:	Daiber		
STATEMENT BY APPLICANT (use as many sheets as necessary)	Art Unit	2828		
ğ	Examiner Name	Scott Jr., Leon		

SKORADE		of	3	Attorney Docket Number	42P14869	
SHEMAL	<u> </u>			NT DOCUMENTS		
<u> </u>			U.S. PATE	Publication Date	Name of Patentee or	Pages, Columns, Lines,
Examiner Cite No.¹ Initials*		Document Number		MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant
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Examiner Initials*	Cite No.	Numl	Document Number  ber-Kind Code <sup>2</sup> (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т°			
***	54	WO 98/05105	02/05/1998	ROYCHOUDHURI					
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation in not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. There Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer,

U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner f r Patents, P.O. B x 1450, Alexandria, Virginia 22313-1450.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	09/814,464		W.			
		Filing Date	March 21, 2001	000	क			
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					Examiner Name	Scott Jr., Leon	Wan-	or CE
Sheet	3		of	3	Attorney Docket Number	42P14869		
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A	61				nnique for Measuring Cavity Lo st 18, 1994), Vol. 30, No. 17, p		tor	
160/	62	SHTENGEL, G. E., et al., "Internal Optical Loss Measurements in 1.3um InGaAsP Lasers," Electronic Letters, (July 6, 1995), Vol. 31, No. 14, pp. 1157-1159.						
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